ACCESSING GCF READINESS SUPPORT FOR ADAPTATION PLANNING

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Readiness Support (including adaptation planning)
Cap: For Readiness: $1 million / country / year
For Adaptation Planning: $3 million / country

Project Preparation Facility
Cap: $1.5 million / project

Projects
No cap

Proposals
Submitted by
Reviewed by
Approved by

Readiness Proposals
NDA
GCF Secretariat
GCF Secretariat

Concept Notes & PPF Applications
AEs
GCF Secretariat
GCF Secretariat

Concept Notes → Funding Proposals
AEs
GCF Secretariat & ITAP
GCF Board

Cap:
For Readiness: $1 million / country / year
For Adaptation Planning: $3 million / country

No cap

GCF Board
READINESS PROGRAMME OBJECTIVES

1. Capacity building
   - NDAs
   - DAEs
   - Other Stakeholders

2. Strategic Frameworks
   - GCF Programming
   - NDC-related
   - National policies/strategies
   - Sector-related SFs
   - Climate Technology
   - National Financing Vehicle
   - Private Sector

3. Adaptation Planning
   - Coordination and decision-making mechanism
   - Adaptation plans
   - Adaptation impact M&E framework
   - Vulnerability and risk studies
   - Climate information

4. Pipeline Development
   - Adaptation plans
   - CNs
   - Adaptation financing strategy
   - Vulnerability assessments
   - Private sector engagement
   - CN & PPF
   - Pipeline identification
   - Investment Assessments

5. Knowledge sharing
   - KPs
   - Other knowledge sharing & learning
OBJEKTIVE 3: NATIONAL ADAPTATION PLANS & ADAPTATION PLANNING PROCESSES (1)

GCF recipient countries establish integrated adaptation planning and monitoring systems to enable climate resilience across sectors, as well as strengthen impact and catalyze the scale of public and private adaptation finance, based on strong climate rationale and active stakeholder engagement.

**Objective 3**

3.1 Adaptation planning governance and institutional coordination strengthened

3.2 Evidence produced to design adaptation solutions for maximum impact

- Inter and intra-institutional coordination and decision-making mechanisms
- Stakeholder engagement frameworks and agreements
- Adaptation impact monitoring, evaluation and learning systems
- National, sub-national and/or sectoral plans
- Climate hazard impact, vulnerability and risk studies
- Consolidation and sharing of climate studies
- Communication to relevant public, private and civil society decision-makers and other stakeholders
- Policy and regulatory frameworks

Adaptation Planning Expected Results (1)

*Expected results under Objective 3 are generated from all the approved adaptation planning proposals since 2016*
OBJECTIVE 3: NATIONAL ADAPTATION PLANS & ADAPTATION PLANNING PROCESSES (2)

GCF recipient countries establish integrated adaptation planning and monitoring systems to enable climate resilience across sectors, as well as strengthen impact and catalyze the scale of public and private adaptation finance, based on strong climate rationale and active stakeholder engagement.

- Policy guidelines or regulations to remove barriers and incentivize adaptation investment
- Private sector actors engaged in national, sectoral and/or sub-national adaptation planning
- Adaptation planning for climate resilience of individual businesses and supply chains
- Matching private financiers with solutions
- Project and programme concept notes
- Financing strategies for specific adaptation priorities
- Systems for prioritizing adaptation project/programme ideas
- Systems for tracking finance

Adaptation Planning Expected Results (2)

*Expected results under Objective 3 are generated from all the approved adaptation planning proposals since 2016.
ADAPTATION PLANNING
APPRAISAL CRITERIA

1. Country ownership
2. Theory of Change
3. Avoidance of duplication of effort
4. Gender considerations
5. Private sector investment
6. Monitoring and evaluation
7. Complementarity and coherence
8. Stakeholder engagement
9. Plan to address specific vulnerabilities and climate impacts
10. Adaptation financing strategy
11. Budget and procurement
12. Results-based management
## NAP PIPELINE AND PORTFOLIO OVERVIEW
### AS OF 8 DECEMBER 2021

### Breakdown of Approvals (LDCs, SIDS, Africa)

<table>
<thead>
<tr>
<th>Status</th>
<th># of proposals</th>
<th>USD Value</th>
</tr>
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<tbody>
<tr>
<td>Total</td>
<td>108</td>
<td>244 m</td>
</tr>
<tr>
<td>Approved</td>
<td>77</td>
<td>175 m</td>
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<tr>
<td>Various stages of review</td>
<td>31</td>
<td>74 m</td>
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### Breakdown of Submissions (LDCs, SIDS, Africa)

- **LDCs**: $57,693,828 (32%)
- **SIDS**: $31,573,883 (17%)
- **Africa (non-LDCs, non-SIDS)**: $26,415,969 (14%)
- **Others**: $68,239,078 (37%)

### Total Submitted

- **Total Submitted**: $244 m
- **LDCs**: $84,591,213 (33%)
- **SIDS**: $51,220,906 (20%)
- **Africa (non-LDCs, non-SIDS)**: $30,857,522 (12%)
- **Others**: $90,193,720 (35%)
Strategies:

• TA Support – consultants available

• Encouragement to NDAs to utilize national/regional Delivery Partners; greater likelihood of understanding local context and formulating transformational adaptation planning processes.

• Multiple sequential proposals – flexibility to address urgent matters, better manage capacity for implementation, apply lessons learnt from previous iterations

• Improved turnaround time for 1st submissions (within 40 working days)

• Regular follow-up once review sheets are shared; open lines of communication

• Technical clearance meetings scheduled weekly
PROJECT DISTRIBUTION AND RESULTS

<table>
<thead>
<tr>
<th>No. of projects</th>
<th>Anticipated number of people with increased resilience</th>
<th>Anticipated tonnes of CO2 equivalent avoided</th>
</tr>
</thead>
<tbody>
<tr>
<td>190</td>
<td>612m</td>
<td>2.0b</td>
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</table>

Geographic distribution

Priority countries

- Eastern Europe 11
- LATAM & Caribbean 46
- Africa 77
- Asia-Pacific 79

- SIDS 45
- African States 77
- LDCs 77